



Attorney's Docket No.: 09857-003001

#28
Bentley
9-28-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Thomas Apple et al.

Art Unit : 2672

Serial No. : 08/736,143

Examiner : Blackman, A.

Filed : October 28, 1996

Title : MEDIA WALL FOR DISPLAYING FINANCIAL INFORMATION

BOX AF

Commissioner for Patents
Washington, D.C. 20231

STATEMENT OF THE SUBSTANCE OF THE INTERVIEW

Applicants confirm that their representatives Denis G. Maloney, Josh Wymard and Christopher Centurelli met with Primary Examiner Jeffery Brier and Examiner Anthony Blackman on March 29, 2001 and that the attached interview summary accurately reflects their discussion. Applicants note, however, that a demonstration of the NASDAQ Custom Logo ticker found at www.nasdaq.com occurred during the interview.

Applicant asks that all claims be allowed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 08/22/01


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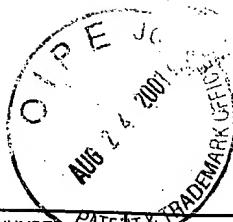
CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

August 22, 2001
Date of Deposit

Megan O'Meara
Signature

Megan O'Meara
Typed or Printed Name of Person Signing Certificate



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARK
Washington, D.C. 20231

APPLICATION NUMBER

PATENT & TRADEMARK OFFICE

FILING DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NO.

Docketed By Practice
Action Code <u>51mt of INTERVIEW</u>
Base Date: <u>5-22-01</u>
Due Date: <u>8-22-01</u>
Deadline: <u>11-22-01</u>
Initial: <u></u>

EXAMINER

ART UNIT

PAPER NUMBER

DATE MAILED:

INTERVIEW SUMMARY

All participants (applicant, applicant's representative, PTO personnel):

(1) Dawn G. Maloney

(3) Christopher Hartnett

(2) John Intymard

(4) Jeff Brier

Date of Interview 3/29/2001

(5) Anthony Blackman

Type: Telephonic Televideo Conference Personal (copy is given to applicant applicant's representative).

Exhibit shown or demonstration conducted: Yes No If yes, brief description: _____

Agreement was reached. was not reached.

Claim(s) discussed: 17, 27, 32

Identification of prior art discussed: MARSHALL (5,675,746), Risberg (3,339,372)

Description of the general nature of what was agreed to if an agreement was reached, or any other comments: no prior art features

- (1) Logos or graphic symbols juxtaposed with financial/text data
- (2) Differences between logos, stock tickers symbols & trademarks
- (3) Advantages - avoid investor confusion and enhance a company's brand awareness

(A fuller description, if necessary, and a copy of the amendments, if available, which the examiner agreed would render the claims allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached.)

It is not necessary for applicant to provide a separate record of the substance of the interview.

Unless the paragraph above has been checked to indicate to the contrary. A FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION IS NOT WAIVED AND MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has not been filed, APPLICANT IS GIVEN ONE MONTH FROM THIS INTERVIEW DATE TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW.

Examiner Note: You must sign this form unless it is an attachment to another form.

Docketed By Billing Secretary
Due Date: _____
Deadline: _____
Initials: <u>TMC</u>